Traction rheological properties of simulative soil for deepsea sediment*

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Abstract The traction capacity of the mining machine is greatly influenced by the traction rheological properties of the deep-sea sediments. The best simulative soil was prepared for substituting the deep-sea sediment based on the deep-sea sediment collected from the Pacific C-C mining area. Traction rheological properties of the simulative soil were studied by a home-made test apparatus. In order to accurately describe the traction rheological properties and determine traction rheological parameters, the Newtonian dashpot in Maxwell body of Burgers model was replaced by a self-similarity spring-dashpot fractance and a new rheological constitutive model was deduced by fractional derivative theory. The results show the simulative soil has obvious non-attenuate rheological properties. The transient creep and stable creep rate increase with the traction, but they decrease with ground pressure. The fractional derivative Burgers model are better in describing non-attenuate rheological properties of the simulative soil than the classical Burgers model. For the new traction rheological constitutive equation of the simulative soil, the traction rheological parameters can be obtained by fitting the tested traction creep data with the traction creep constitutive equation. The ground contact length of track and walking velocity of the mining machine predicted by the traction rheological constitutive equation can be used to take full advantages of the maximum traction provided by the soil and safely improve mining efficiency.

Keyword: simulative soil; traction rheological properties; constitutive model; rheological parameters; ground contact length of track; walking velocity

1 INTRODUCTION

Marine mineral resources are regarded as one of the most economically attractive resources in the ocean. It is important to exploit the marine mineral resources to guarantee the sustainable development of human society (Kato et al., 2011; Ma et al., 2017). Many kinds of deep-sea mining systems have been designed for exploiting marine mineral resources, such as continuous bucket mining system, shuttle mining system and hydraulic lifting pipeline mining system (Liang and Wang, 2005). All of these mining systems consist of five main parts: a mining ship, a flexible hose, a buffer, a rigid pipe, and a tracked mining vehicle. In particular, the movement characteristics of the mining vehicle on the seabed play an important role in deep-sea mining operations (Lv et al., 2004; Xu et al., 2018).

The water content of the deep-sea sediment is higher than ordinary land-soil, which causes low shear strength and obvious rheological properties of the soil (Kumar et al., 2010; Mathai et al., 2012). Hence, the mining machines that operate on deep-sea beds can easily slip due to lack traction (Brandes, 2011). With regard to tracked vehicles, there have been various studies of how they interact with land soil. Raymond and Jayakumar (2015) modeled and analyzed track vehicle-soil interactions to compare

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Table 1 Physical and mechanical properties of simulative soil and deep-sea sediment

Soil sample	ω (%)	ρ (g/cm ³)	P_s (kPa)	C (kPa)	φ (°)
S1	55.3	1.728	111	7.3	0.93
S2	75.5	1.656	153	6.6	0.72
S3	165.6	1.315	87	6.2	1.72
S4	106.8	1.431	76	7.7	0.90
Deep-sea sediment	246.2	1.250	50-90	6.0	3.1

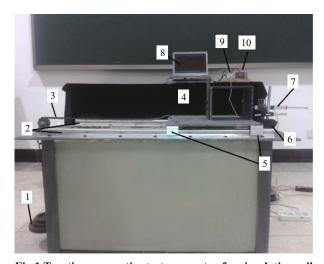


Fig.1 Traction properties test apparatus for simulative soil 1. weight; 2. rail; 3. chain; 4. truck; 5. pulley; 6. magnetic stand; 7. NS-WY02 displacement sensor; 8. computer; 9. data mining machine; 10. data display.

the mobility of two notional path clearing implements pushed by a tracked vehicle. Arvidsson et al. (2011) compared the tractions of different types of tractors on clay soil. Hemmat et al. (2014) explored the relationships between rut depth, the soil elastic limit (σ_{pc}) and average ground pressure (σ) for the unstable calcareous clay. Chandio (2013) studied the traction properties of the grousers with different tooth profiles, water content, and ground pressures by introducing the rheological parameter. Manuwa and Ademosun (2007) researched the influence of some soil water content and cone index on traction. For the deep-sea sediment, Schulte (Schulte et al., 2003; Schulte and Schwarz, 2009), Hong and Choi (2001) and Ma et al. (2015a) established the relative traction models to reflect the interaction between the grouser and the deep-sea sediment. However, the traction rheological properties of soil has been taken into account neither in the studies of land soil nor the deep-sea sediment.

In this study, the best simulative soil was prepared by mixing four different bentonites with a certain percentage of water. The traction rheological tests of the simulative soil were conducted by a home-made test apparatus under different ground pressures and tractions. The tests are designed to simulate the traction and ground pressure exerted by the mining machine on deep sea sediments due to its weight and movement. A new rheological constitutive model proposed on the basis of fractional derivative theory is used to accurately describe the traction rheological properties and obtain the traction rheological parameters. The relationships between the traction, the velocity and time obtained on the basis of the fractional derivative rheological constitutive equation are intended to predict a suitable ground contact length of track and walking velocity. The two important data can be used to take full advantages of the maximum traction provided by the soil and safely improving mining efficiency.

2 TRACTION RHEOLOGICAL TESTS

2.1 Preparation of simulative soil

Considering that it is not possible to meet the requirements of experimental studies using only a small amount of deep-sea sediment, simulative soils have been usually used as substitutes for the deep-sea sediment (Hillenbrand et al., 2003; Maher et al., 2004; Wang et al., 2011; Ma et al., 2014a). Therefore, four kinds of simulative soils, i.e., S1, S2, S3, and S4, were prepared by mixing four kinds of bentonites with a certain percentage of water (Ma et al., 2015a). Table 1 lists the water content ω , the wet density ρ , the penetration resistance P_s , the cohesion C, and the internal friction angle φ of the simulative soil and the deep-sea sediment. It is seen that the S3 simulative soil has the closest physical and mechanical parameters to the deep-sea sediment, and becomes the best substitution for the deep-sea sediment (Ma et al., 2015a).

2.2 Test arrangement

The traction creep test apparatus shown in Fig.1 was used to obtain traction creep curves. The S3 simulative soil was prepared to pave in the apparatus, and the grouser (width=100 mm, height=130 mm) (Xu et al., 2012) was selected to be fixed on the truck. According to the designed ground pressure σ_0 of the mining machine (σ_0 =5 kPa) (Ma et al., 2016), the constant compressive stress was selected as 0 kPa, 5 kPa, and 10 kPa, respectively. The traction was selected according to the maximum traction in each group (Ma et al., 2015). One end of the chain was

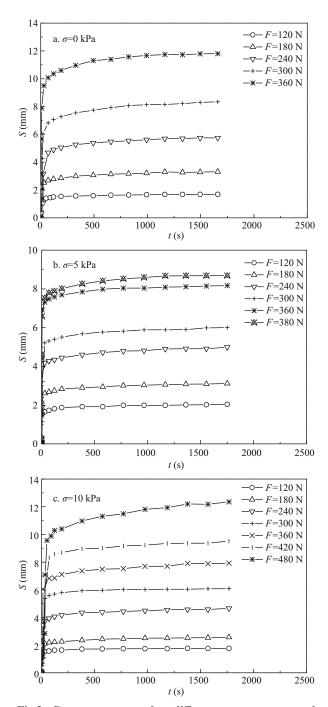


Fig.2 Creep curves under different constant ground pressures and tractions

fixed on the truck, and weights were used to exert a constant traction in the other end to pull the S3 simulative soil. The relationship of the displacement and time was automatically recorded by the NS-WY02 displacement sensor for the displacement-time curves.

2.3 Analysis of traction creep

Traction creep curves of the S3 simulative soil

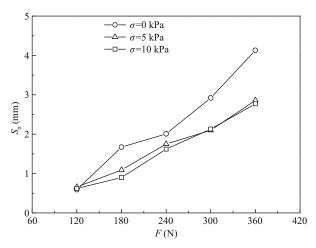


Fig.3 Transient traction creep displacement carrying with ground pressures and tractions

under different constant ground pressures and tractions are presented in Fig.2. It can be seen that all of the curves are divided as three stages: transient creep stage (transient deformation at t=0), unstable creep stage (creep rate is decreased) and stable creep stage (Ma et al., 2014b).

A series of curves are shown in Fig.3. They indicate that the higher the traction force, the larger the transient creep. Hydrophilic minerals, such as montmorillonite, are the main mineral composition of the simulative soil, leading to higher water content (165.6%) and thicker hydrated film of the S3 simulative soil. Therefore, the transient creep is caused by elastic deformation of a large number of hydrated films.

As time increases, it gradually enters into unstable creep stages. The deformation of the hydrated film (called softening effect) causes the soil particles to be tightly connected and hinders the interparticle movement, which is known as hardening effect. As shown in Fig.2, when the softening rate and hardening rate reach a dynamic equilibrium (i.e., a stable creep stage), the unstable creep rate gradually reaches a constant value.

As presented in Fig.4, as the increasing traction, the softening effect is gradually stronger than the hardening effect, and the stable creep rate increases with the increase of traction. Thus the S3 simulative soil has obvious non-attenuation creep properties.

When the traction is a constant, the transient creep (Fig.3) and the stable creep rate (Fig.4) decrease as the increase of the ground pressure. That is because the larger the ground pressure, the more compact the simulative soil, which leads to more difficulty in creep deformation of the simulative soil.

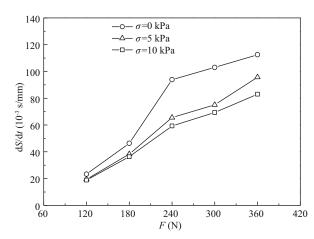


Fig.4 Stable creep rate carrying with ground pressures and tractions

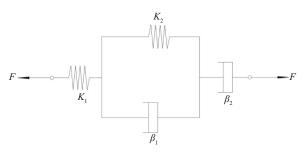


Fig.5 Burgers rheological model

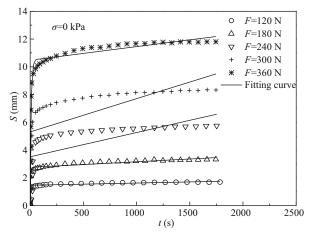


Fig.6 Displacement-time curve fitting of Burgers model

3 TRACTION RHEOLOGICAL MODEL AND PARAMETER DETERMINATION

3.1 Burgers rheological model

Burgers model, which can reflect the nonattenuation creep properties, is commonly used in describing rheological property of soil (Fig.5). Constitutive equations of spring and dashpot model are rewritten by Eq.1 where stress and strain must be rewritten by traction F and displacement S for test results of S-t curve (Ma et al., 2014b).

$$F=K\cdot S,$$

 $F=\beta\cdot DS,$ (1)

where K_1 , K_2 are elastic parameters, MPa·mm; β_1 , β_2 a viscous parameters, MPa·s·mm; D=d/dt is first-order of differential operator.

According to the series rule

$$S=S_{M}+S_{K}.$$
 (2)

The rheological constitutive equation can be deduced

$$\frac{\beta_1 \beta_2}{K_2} D^2 S + \beta_2 D S = \frac{\beta_1 \beta_2}{K_1 K_2} D^2 F + \frac{\beta_1 K_1 + \beta_2 (K_1 + K_2)}{K_1 K_2} D F + F,$$
(3)

Therefore, the creep constitutive equation is obtained when F is constant as follows

$$S(t) = \frac{F}{K_1} + \frac{F}{K_2} (1 - e^{-tK_2/\beta_2}) + \frac{F}{\beta_2} t.$$
 (4)

According to Eq.4, there are large deviations between fitting curves and experimental results (Fig.6). The minimum and maximum correlation coefficients (R^2) are 0.992 8 and 0.603 2, respectively. The average correlation coefficient is only 0.926 4, indicating the Burgers model can not accurately describe rheological properties of simulative soil. The constitutive equation of the Newtonian dashpot is an integer order differential equation representing the ideal fluid. However, the simulative soil is a twophase medium (solid-liquid coexistence), mechanical properties of simulative soil lie between the ideal fluid and ideal solid (spring). Therefore, the traditional integer order viscoelastic constitutive model can not be used to describe the mechanical behavior of the simulative soil.

In order to improve the fitting precision of the Burgers model, the Newtonian dashpot in Maxwell body was replaced by a self-similarity spring-dashpot fractance (Schiessel and Blumen, 1993; Heymans and Bauwens, 1994), as shown in Fig.7. Then, the constitutive equation of fractional derivative Burgers model was established based on fractional derivative theory (Papoulia et al., 2010; Katicha and Flintsch, 2012).

3.2 Fractional derivative differential operator definition

A fractional calculus can be defined by different ways, but the most famous ones of these definitions

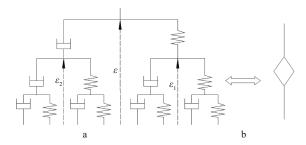


Fig.7 Spring-dashpot fractance (a); fractional element (b) (α=0.5)

that have been popularized in the field of fractional calculus are the Riemann-Liouville definition and Grunwald-Letnikov definition. In this study, the Riemann-Liouville definition will be used to describe the rheological properties of the simulative soil (He et al., 2016).

$$D^{\alpha}[f(t)] = \frac{1}{\Gamma(1-\alpha)} \frac{\mathrm{d}}{\mathrm{d}t} \int_{0}^{t} \frac{f(t-\xi)}{\xi^{\alpha}} \mathrm{d}\xi,$$

$$(0 \le \alpha \le 1),$$
(5)

where $\Gamma(z)$ is the Gamma function, i.e., $\Gamma(z) = \int_0^{+\infty} e^{-t} t^{z-1} dt \ (Re(z) > 0), \ D^{\alpha}$ indicates fractional differentiation.

3.3 Constitutive relation of viscoelastic system

A number of studies (Zhu et al., 2007; Orczykowska et al., 2015; Cajić et al., 2017) have shown that the self-similarity spring-dashpot fractance can be used to describe the material which has both the spring and the Newtonian dashpot properties. According to the Heaviside calculus (Zhu et al., 2007), the constitutive relation of the spring-dashpot fractance is given by

$$\sigma = ET\varepsilon$$
, (6)

where E is the elastic modulus of the spring, T is the operator to be solved.

The Eq.6 can be used to describe both a spring in a special case of T=1, and a Newtonian dashpot in a special case of $T=(\mu/E)D$, where μ is the viscosity coefficient of the dashpot, D=d/dt is first-order of differential operator.

According to the series rule, the total stress is the same as components, while the total strain is the sum of the strain of all components. Thus the total strain shown in Fig.7 can be expressed as

$$\varepsilon = \varepsilon_1 + \sigma_1 / E = \varepsilon_2 + D^{-1} \sigma_1 / \mu. \tag{7}$$

Due to the self-similarity of the system, Eq.7 can be further rewritten into

$$\varepsilon = (1+T)\varepsilon_1 = (1+D^{-1}ET/\mu)\varepsilon_2. \tag{8}$$

According to the parallel rule, the total strain is the same as components, while the total stress is the sum of the stress of all components. Thus the total stress can be expressed as

$$\sigma = ET\varepsilon = \sigma_1 + \sigma_2 = ET(\varepsilon_1 + \varepsilon_2). \tag{9}$$

Combining Eq.8 with Eq.9, we get

$$T(\frac{1}{1+T} + \frac{1}{1+D^{-1}ET/\mu})\varepsilon = T\varepsilon.$$
 (10)

Namely

$$\frac{1}{1+T} + \frac{1}{1+D^{-1}ET/\mu} = 1. \tag{11}$$

According to the Heaviside calculus, the operator D can be used as a parameter to participate in algebraic operations. The constitutive operator of the spring-dashpot fractance is obtained from Eq.11.

$$T = \eta^{0.5} D^{0.5},$$
 (12)

where $\eta = E\mu$, $D^{0.5} = d^{0.5}/dt^{0.5}$, is the 0.5 order differential operator. Finally, the constitutive relation of the spring-dashpot fractance shown in Fig.7 is given by

$$\sigma(t) = \eta^{0.5} \frac{d^{0.5} \mathcal{E}(t)}{dt^{0.5}}.$$
 (13)

Considering $\sigma(t) = \sigma$ (constant stress) in Eq.13, Eq. 13 is integrated on the basis of the Riemann-Liouville operator, then we obtain

$$\varepsilon(t) = \frac{\sigma}{\eta^{0.5}} \frac{t^{0.5}}{\Gamma(1+0.5)}.$$
 (14)

3.4 Fractional derivative rheological model

As shown in Fig.8, the rheological constitutive equation of the fractional order Burgers model can be deduced

$$\frac{\beta_{1}\beta_{2}^{\alpha}}{K_{2}}D^{1+\alpha}S + \beta_{2}^{\alpha}D^{\alpha}S =
\frac{\beta_{1}\beta_{2}^{\alpha}}{K_{1}K_{2}}D^{1+\alpha}F + \frac{\beta_{1}}{K_{2}}DF +
\frac{\beta_{2}^{\alpha}(K_{1} + K_{2})}{K_{1}K_{2}}D^{\alpha}F + F.$$
(15)

Therefore, when F is a constant, the creep constitutive equation is given by

$$S(t) = \frac{F}{K_1} + \frac{F}{K_2} (1 - e^{-tK_2/\beta_2}) + \frac{F}{\beta_2^{\alpha}} \frac{t^{\alpha}}{\Gamma(1+\alpha)}.$$
 (16)

When α =0.5, Eq.16 can be written as

$$S(t) = \frac{F}{K_1} + \frac{F}{K_2} (1 - e^{-tK_2/\beta_2}) + \frac{F}{\beta_2^{0.5}} \frac{t^{0.5}}{\Gamma(1 + 0.5)}.$$
 (17)

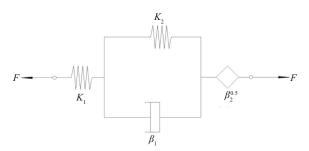


Fig.8 Rheological model of fractional derivative Burgers

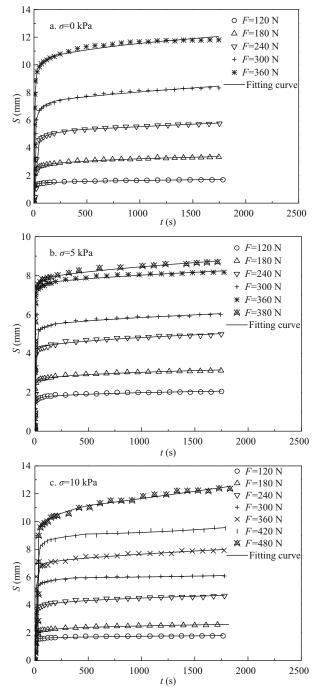


Fig.9 Displacement-time curve fitting of the fractional derivative Burgers model

4 ANALYSIS AND DISCUSSION

The experimental data as well as the fitting curves fitted by Eq.17 are given in Fig.9. The traction rheological parameters are listed in Table 2. The minimum, maximum and average correlation coefficients R_0^2 (fractional derivative constitutive model) are 0.999 7, 0.980 7 and 0.991 3, and all of these are greater than R^2 (Burgers model), indicating the fractional derivative Burgers model is in better agreement with the experimental data than the Burgers model. Furthermore, the fractional derivative constitutive model proposed in this paper can adequately represent the time-dependent deformation of simulative soil.

As shown in Fig.10, rheological parameters vary greatly when F < 300 N, while they stabilize at a constant when $F \ge 300$ N. Elastic parameters (K_1, K_2) decrease with the increase of the traction. Elastic parameters reflect the ability to resist deformation of the soil, and the hydrated film around the soil particle will deform extremely with the increase of the traction, such as break or slipping. Thus the larger the traction, the smaller the elastic parameters. In contrast, viscous parameters $(\beta_1, \beta_2^{0.5})$ increase linearly with the increase of the traction. Viscous parameters, determined by the deformation space, reflect the deformation rate of the soil. The smaller the deformation space, the larger the viscous parameters. With the increase of the traction, soil particles connect more tightly and the deformation space becomes smaller which lead to the viscous parameters increase.

Considering the rheological parameters eventually stand at certain stable values, the rheological parameters of the simulative soil can be determined as average rheological parameters under $F \ge 300 \text{ N}$ (Table 3).

When the deep-sea mining machine (designed ground stress σ_0 =5 kPa) walks on the deep seabed in a constant velocity, namely S=vt, Eq.15 is transformed to

$$D^{1.5}F + \frac{b}{a}DF + \frac{c}{a}D^{0.5}F + \frac{1}{a}F = \frac{1}{a}f(t),$$

$$(300 \le F \le 380\text{N}), \qquad (18)$$

where
$$f(t) = d \frac{vt^{0.5}}{\Gamma(1.5)} + e \frac{vt^{-0.5}}{\Gamma(0.5)}, \quad a = \frac{\beta_1 \beta_2^{0.5}}{K_1 K_2},$$

$$b = \frac{\beta_1}{K_2}$$
, $c = \frac{\beta_2^{0.5}(K_1 + K_2)}{K_1 K_2}$, $d = \beta_2^{0.5}$ and $e = \frac{\beta_1 \beta_2^{0.5}}{K_2}$

Table 2 Traction rheological parameters

Ground pressure σ (kPa) Traction F (N)	T	W (MD)	V O.M.	0.040	$\beta_2^{0.5}$ (MPa·s·mm)	Correlation coefficient	
	Traction F (N)	K_1 (MPa·mm)	$K_2(\text{MPa·mm})$	β_1 (MPa·s·mm)		R_0^2	R^2
0 2	120	96.00	80.66	206.69	26 465.13	0.990 5	0.987 8
	180	79.65	69.02	217.74	23 400.55	0.983 4	0.990 9
	240	56.74	46.34	288.91	65 146.62	0.976 5	0.603 2
	300	48.86	38.58	280.56	63 973.04	0.995 8	0.615 8
	360	47.43	39.59	289.40	62 007.72	0.999 6	0.970 7
120 180 240 5 300 360 380	120	80.54	66.55	165.12	23 131.85	0.972 4	0.983 1
	180	77.92	61.74	186.85	38 915.10	0.995 9	0.957 3
	240	60.76	54.40	258.43	54 198.06	0.996 3	0.968 0
	300	58.59	47.87	299.22	100 760.31	0.996 8	0.975 4
	360	53.57	43.45	310.56	100 930.28	0.999 0	0.963 0
	380	56.55	43.84	311.07	100 190.49	0.998 1	0.890 3
18 24 10 30 36 42	120	87.59	74.16	133.59	33 882.17	0.980 7	0.992 8
	180	90.45	84.91	181.37	28 315.06	0.980 9	0.989 9
	240	70.80	59.54	226.89	45 955.66	0.996 5	0.991 5
	300	56.39	51.67	334.20	86 823.57	0.992 8	0.925 1
	360	56.43	51.19	336.10	86 710.40	0.989 2	0.933 5
	420	52.11	45.43	338.08	89 650.81	0.999 0	0.983 3
	480	51.17	47.40	343.6	89 993.00	0.999 7	0.953 7

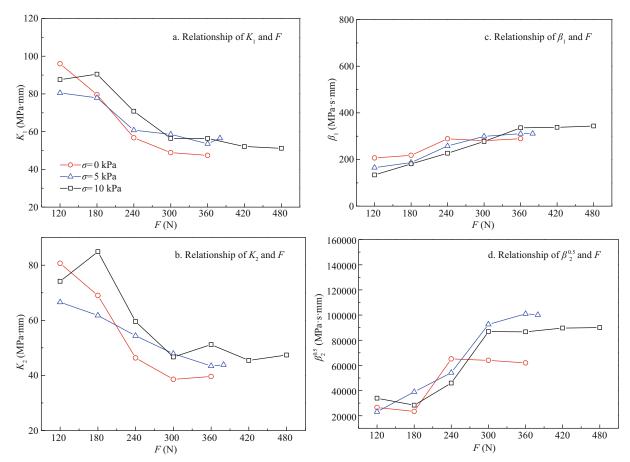


Fig.10 Traction rheological parameters varying with tractions under constant ground pressures

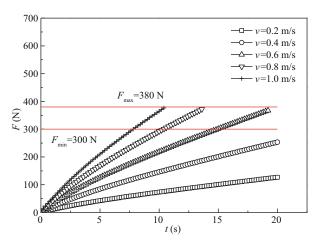


Fig.11 The traction-time relation varying with constant velocity under σ =5 kPa

Table 3 Average traction rheological parameters

Ground pressure σ (kPa)	K_1 (MPa·mm)	K_2 (MPa·mm)	β_1 (MPa·s·mm)	$\beta_2^{0.5}$ (MPa·s·mm)
0		39.09	284.98	62 990.38
5	56.24	45.05	306.95	100 627.00
10	54.03	48.92	338.00	88 294.45

Table 4 The ground contact length of track

v (m/s)	$t_{F=300~\mathrm{N}}(\mathrm{s})$	$S_{F=300 \text{ N}}(\text{m})$	$t_{F=380~\mathrm{N}}(\mathrm{s})$	$S_{F=380 \text{ N}}(M)$
0.6	15.0	9.0	19.2	11.5
0.8	10.0	8.0	13.6	10.9
1.0	7.8	7.8	10.2	10.2

Adomian decomposition method (Adomian, 1989, 1994) is applied to obtain Eq.19

$$F(t) = \frac{1}{a}D^{-1.5}f(t) - \frac{1}{a}D^{-1.5}F - \frac{c}{a}D^{-1}F - \frac{b}{a}D^{-0.5}F.$$
 (19)

Its numerical solution can be achieved by $F(t) = \sum_{j=0}^{N} F_j(t) \cdot$

Figure 11 is generated from the numerical solution solved by MATLAB. It indicates the relationship between the traction, the velocity and time. The traction increases with the increase of the working time and the velocity. However, the soil will fail when reaching the strength limit.

According to the experimental data, the soil will not fail when the traction is lower than 380 N, but the properties of the soil do not stable until $F \ge 300$ N. In order to take full advantage of the maximum traction provided by the soil and safely improve mining efficiency, the velocity and the ground contact length

of track should be determined on the basis of 300 N < F < 380 N.

As an example, the following is the predicted velocity and ground contact length of track. When F=300 N and v=0.8 m/s, the time of the track loading on the soil is t=15 s, and the ground contact length of track is S=vt=9 m. As shown in Table 4, range of the ground contact length of track is determined by the time and the velocity required for the traction to reach the maximum and minimum values. It suggests the ground contact length of track stands at $9.0 \le S \le 10.2 \text{ m}$ when the mining machine walks at $0.6 \le v \le 1.0 \text{ m/s}$.

5 CONCLUSION

Traction rheological properties of the simulative soil were studied by a home-made test apparatus. In order to accurately describe the traction rheological properties and determine traction rheological parameters, the Newtonian dashpot in Maxwell body of Burgers model was replaced by a self-similarity spring-dashpot fractance and a new rheological constitutive model was deduced by fractional derivative theory. The investigations conducted in this paper lead to the following conclusions:

- 1) The simulative soil has obvious non-attenuate rheological properties. The transient creep and stable creep rate increase with the traction, but they decrease with ground pressure. By replacing the Newtonian dashpot in Maxwell body of Burgers model with a self-similarity spring-dashpot fractance, the fractional derivative Burgers model are better in describing non-attenuate rheological properties of the simulative soil than the classical Burgers model.
- 2) For traction rheological constitutive equation of the simulative soil, the traction rheological parameters $(K_1, K_2, \beta_1, \beta_2^{0.5})$ can be obtained by fitting the tested traction creep data with the traction creep constitutive equation. Though the rheological parameters vary with traction when F<300 N, they eventually stand at certain stable values, so the rheological parameters of the simulative soil can be determined as average rheological parameters under F≥300 N.
- 3) Finally, the relationships of traction, velocity and time are obtained based on the traction rheological constitutive equation of simulative soil. The velocity should stand at $0.6 \le v \le 1.0$ m/s and the ground contact length of track should stand at $9.0 \le S \le 10.2$ m, which can take more advantages of the maximum traction provided by the soil and safely improve mining efficiency. It provides an important theoretical basis for designing the deep-sea mining machine.

6 DATA AVAILABILITY STATEMENT

The data that support the findings of this study are available from the corresponding author on request.

7 ACKNOWLEDGMENT

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